JAN 0 7 2002

GP 1655

H H	Ħ				_
TRANSMITTAL	FORM	Application Number:	09/648,081	0	
		Filing Date:	August 25, 2000		U
(to be used for all correspondence after initial filing)		First Named Inventor:	Wang		四
		Group Art Unit:	1655	Terres presents	H
		Examiner:	Lu	2	A STATE OF THE PERSON NAMED IN COLUMN TO STATE OF THE PER
Total Pages in This Submission:	4	Attorney Docket Number:	ART-00101.P.175		Ü

ENCLOSURES (check all that apply)							
[X] Fee Transmittal Form	[] Assignment Papers (for an Application)	[] After Allowance Communication to Group					
[X] Fee Attached	[] Drawing(s)	[] Appeal Communication to Board of Appeals and Interferences					
[X] Amendment / Response	[] Licensing-related Papers	[] Appeal Communication to Group (Appeal, Notice, Brief, Reply Brief)					
[] After Final	[] Petition Routing Slip (PTO/SB/69) and Accompanying Petition	[] Proprietary Information					
[] Affidavits / Declarations(s)	[] Petition to Convert to a Provisional Application	[] Status Letter					
[X] Extension of Time Requests	[] Power of Attorney, Revocation, Change of Correspondence Address	[X] Additional Inclosures, identified below:					
[] Express Abandonment Request	[] Terminal Disclaimer	Postcard					
[] Information Disclosure Statement	[] Small Entity Statement						
[] Certified Copy of Priority Document(s)	[] Request for Refund						
[] Response to Missing Parts / Incomplete Application	Remarks:						
[] Response to Missing Parts under 37 C.F.R. 1.52 or 1.53							

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name

David R. Preston

Signature

Date

	CERTIFICATE OF MAILING		
	orrespondence is being deposited with the United States s mail in an envelope addressed to: Assistant Commissioner D.C. 20231 on this date.	Date:	u/6
Typed or printed name:	David R. Preston		
Signature	a del La	Date:	1/4/01

O I P IL 50116 JAN 0 7 2002 300

FEE TRANSMITTALE

Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

Complete if Known					
Application Number:	09/648,081	HOH HOH			
Filing Date:	August 25, 2000	Œ			
First Named Inventor:	Wang	TER 16			
Group / Art Unit:	1655	00/20			
Docket Number:	ART-00101.P.1	8			

\$ 0.00

SUBTOTAL (1)

	METHOD	OF PAYN	MENT

\$ 200.00

- 1. [] The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:
 - Deposit Account Number: [] Deposit Account Name: []
 - [] Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17 to
 - Deposit Account Number 50132
 - Charge the Issue Fee Set in 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance.
- 2. [X] Payment Enclosed
 - [X] Check Number 1029
 - [] Money Order

Total Amount of Payment

[] Other

			FEE CALCU	JLATION						
1. BASIC	I. BASIC FILING FEE									
	Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid				
	101		201		Utility Filing Fee	\$				
	106		206		Design Filing Fee	\$				
	107		207		Plant Filing Fee	\$				
	108		208		Reissue Filing Fee	\$				
	114		214		Provisional Filing Fee	\$				

2. EXTRA C	CLAIM FE	EES						
				Extra Claims		Fee From Below		Fee Paid
Total Claims	[]	-20 **	Equals	[0]	Times	[]	Equals	\$ 0.00
Independent Claims	[]	-3 **	Equals	[0]	Times	[]	Equals	\$ 0.00
						Subto	tal (2)	\$ 0.00
** or number	previously	paid, if grea	ater, For Reis	sues, see bel	low			
	Large E	ntity	Small Entity					
	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description			
	103		203		Claims in	excess of 20		
	102		202		Independ	ent claims in ex	cess of 3	
	104		204		Multiple	dependent clain	n, if not pai	d
	109		209		** Reissu	ie independent	claims over	original
	110		210		** Reissue claims in excess of 20 and over original patent			

Large	Entity	Small I	Entity	R. D. William	Fee Paid	
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description		
105		205		Surcharge - late filing fee or oath	\$	
127		227		Surcharge - late provisional filing fee or cover sheet	\$	
139		139		Non-English specification	\$	
147		147		For filing a request for reexamination	\$	
112		112		Requesting publication of SIR prior to Examiner action	\$	
113		113		Requesting publication of SIR after Examiner action	\$	
115		215		Extension for reply within first month	\$	
116		216	200.00	Extension for reply within second month	\$ 200.00	
117		217		Extension for reply within third month	\$	
118		218		Extension for reply within fourth month	\$	
128		228		Extension for reply within fifth month	\$	
119		219		Notice of Appeal	\$	
120		220		Filing a brief in support of an appeal	\$	
121		221		Request for oral hearing	\$	
138		138		Petition to institute a public use proceeding	\$	
140		240		Petition or revive - unavoidable	\$	
141		241		Petition or revive - unintentional	\$	
142		242		Utility issue fee (or reissue)	\$	
143		243		Design issue fee	\$	
144		244		Plant issue fee	\$	
122		122		Petitions to the Commissioner	\$	
123		123		Petitions related to provisional applications	\$	
126		126		Submission of Information Disclosure Statement	\$	
581		581		Recording each patent assignment per property	\$	
146		246		Filing a submission after final rejection (37 C.F.R. 1.129(a))	\$	
149		249		For each additional invention to be examined (37 CFR 1.129(b))	\$	
Other fee (specify):				\$	

4. SUMMATION OF FEES						
SUBTOTAL (1)	\$ 0.00					
SUBTOTAL (2)	\$ 0.00					
SUBTOTAL (3)	\$ 200.00					
TOTAL FEES	\$ 200.00					

SUBMITTED BY	7	Complete (If Applicable)		
Typed or Printed Name	David R. Preston		Registration Number:	38,710
Signature	(S) Sh	Date: 4/6/01	Deposit Account User ID Number	50132



Patent Attorney Docket No: ART-00101.P.1

Lu, Frank W.

1655

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assistant Commissioner for Patents Washington D.C., 20231

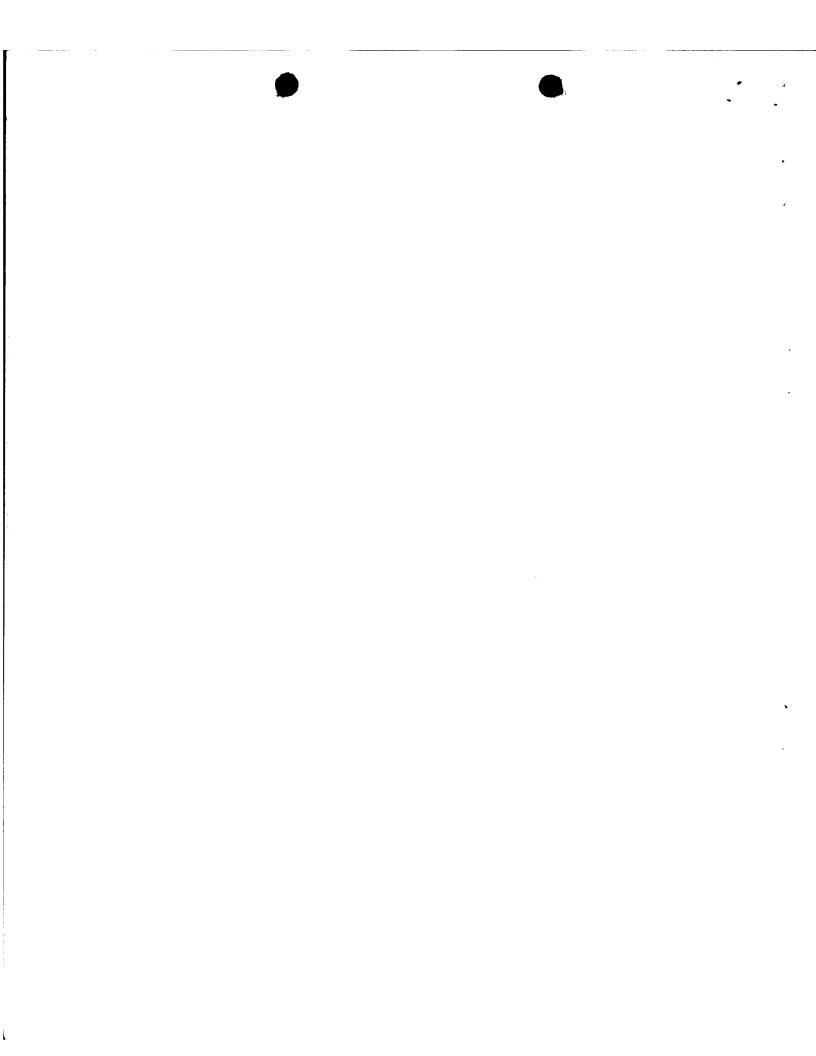
Sir,

RESPONSE TO RESTRICTION REQUIREMENT

Examiner:

Group Art Unit:

In response to the Office Action mailed August 28, 2001, Applicants submit the following election and traverse. Applicants submit this response within three months of that mailing date. Thus, a two month's extension of time is deemed appropriate and the fee of \$200.00 is included herewith and such extension is respectfully requested. Accordingly, this Response is timely filed.



In the Office Action, the Examiner restricts the claims to four distinct and independent inventions. For the following reasons, Applicants traverse this restriction requirement.

B. Standard for Restriction

The M.P.E.P. (Feb. 2000) sets forth the standard for restriction requirements.

There are two criteria for a proper requirement for restriction between patentably distinct intentions:

- A. The inventions must be independent (see M.P.E.P. §802.01, §806.04, §808.01) or distinct as claimed (see M.P.E.P. §806.05 §806.05(i); and
- B. There must be a serious burden to the examiner if restrction is not required (see M.P.E.P. §803.02, §806.04(a) -(j), §808.01(a) and §808.02)

M.P.E.P. § 803 (Feb. 2000)

The term "independent" (i.e., not dependent) means that there is no disclosed relationship between the two or more subjects disclosed, that is, they are unconnected in design, operation, or effect, for example: (1) species under a genus which species are not useable together as disclosed or (2) process and apparatus incapable of being used in practicing the process.

M.P.E.P. § 802.01 (Feb. 2000).

B. The Claims

The claims of Groups I through III, while being patentably distinct, do not require restriction as they are connected by a single, searchable unifying relationship that connects the claims in design. M.P.E.P. § 802.01 (Feb. 2000). Because of the single, searchable unifying relationship, the Examiner would not be seriously burdened by searching and examining the claims together in a single application.

The claims are connected by a single searchable unifying relationship, namely methods that share similar method steps that utilize nucleolytic activity and which have been classified by

the USPTO in the same class and subclass, namely 435/6. This unifying relationship connects the claims of the groups in design. The single searchable unifying element can be primarily searched by electronically searching key words or, for example, by the common classification.

Because the claims of Groups I through III are patentably distinct, are not independent and are connected by a single searchable unifying element, the Examiner would not be seriously burdened by examining these claims together. Accordingly, Applicants request that the Examiner join the claims of Groups I through III. In the alternative, Applicants request that the Examiner join the claims of Groups I and III.

III. **CONCLUSION**

Based on the commonality of the subject matter of the amended claims, Applicants request that the Examiner join the claims of Groups I through III, or in the alternative join the claims of Groups I and III, into a single group. For completeness, Applicants have elected the claims of Group I for examination. Applicants respectfully submit that the claims are ready for examination and in condition for allowance.

Please apply any charges not covered, or any credits, to **Deposit Account Number** 501321 in the name of David R. Preston & Associates, having Customer Number 24232.

Respectfully submitted,

Date: 1/20 6,2001

David R. Preston Reg. No. 38,710

David R. Preston & Associates 12625 High Bluff Drive

Suite 1205

San Diego, CA 92130 Telephone: 858.724.0375 Facsimile: 858.724.0384